

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As below named inventor,

I hereby declare that my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MANGANESE SUPEROXIDE DISMUTASE GENE POLYMORPHISM FOR PREDICTING COLORECTAL CANCER SUSCEPTIBILITY**, the specification of which

☐ is attached hereto.

☒ was filed on April 2, 2001 and assigned Application Serial Number 09/824,629

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign applications for patent or inventor's certificate, listed below and so identified, and I have also identified below any foreign application for patent or inventor's certificate on this invention filed by my or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

Number	Country	Day/Month/Year Filed	Priority Claimed - Yes or No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States provisional application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior provisional application and the filing date of this application.

Provisional Application No.	Filing Date	Status
60/193,964	03/30/2000	Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls with respect to this application be directed to

McCUTCHEN, DOYLE, BROWN & ENERSEN, LLP  
Three Embarcadero Center,  
San Francisco, California 94111  
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William E. Thomson, Jr.	20,719	Michael E. Woods	33,466
Rajiv Yadav	43,999		

Full name of sole or first joint inventor: Heinz-Josef Lenz

Inventor's signature: \_\_\_\_\_

Date: 6/25/01

Residence 2753 Winrock Avenue

Post Office Address: Altadena, California 91001

Citizenship:

Full name of second joint inventor: Jan Stoehlmacher

Inventor's signature: Jan Stoehlmacher

Date: 6/25/01

Residence ~~175 South Madison Avenue~~

Post Office Address: ~~Pasadena, California 91101~~

Citizenship:

*This address is no longer valid*

NEW ADDRESS:

DR. JAN STOEHLMACHER

146 MELROSE AVE

MONROVIA, CA 91016



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

1376-7001



\*101827192A\*

OCTOBER 29, 2001

PTAS

MCCUTCHEN, DOYLE, BROWN & ENERSEN

RAJIV YADAV

THREE EMBARCADERO CENTER, 18TH CENTER

SAN FRANCISCO, CALIFORNIA 94111

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2001

REEL/FRAME: 012106/0024

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LENZ, HEINZ-JOSEF

DOC DATE: 06/25/2001

ASSIGNOR:

STOEHLMACHER, JAN

DOC DATE: 06/25/2001

ASSIGNEE:

UNIVERSITY OF SOUTHERN CALIFORNIA

3716 SOUTH HOPE STREET, SUITE 313

LOS ANGELES, CALIFORNIA 90007

SERIAL NUMBER: 09824629

FILING DATE: 04/02/2001

PATENT NUMBER:

ISSUE DATE:

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Docket No. 13761-7001

08-29-2001

PATENT AUG 13 2001

FORM PTO-1595

REC



101827192

U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

## 1. Name and address of conveying party(ies):

Name: Heinz-Josef Lenz  
Address: 2753 Winrock Avenue  
Altadena, CA 91001

☒ Individual      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☐ Corporation      ☐ Other:

Additional name(s) of conveying party(ies) attached?

☒ Yes      ☐ No

## 2. Name and address of receiving party(ies):

Name: University of Southern California  
Address: 1716 South Hope Street, Suite 313  
Los Angeles, CA 90007

Additional name(s) of receiving party(ies) attached?

☐ Yes      ☒ No

## 3. Nature of Conveyance:

☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name      ☐ Other:

Execution Date: June 25, 2001

## 4. Application Number(s) or Patent Number(s)

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application Number(s). 09/824,629

Additional numbers attached? ☐ Yes ☒ No

## B. Patent Number(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Rajiv Yadav

Address: McCutchen, Doyle, Brown & Enersen  
Three Embarcadero Center, 18<sup>th</sup> Floor  
San Francisco, California 94111

6. Total number of applications and patents involved: 1

## 7. Total fee (37 C.F.R. § 3.41)(\$40.00 per assignment): \$40.00

☐ Enclosed☒ Authorized to be charged to Deposit Account No. 50-1192.☒ The Commissioner is authorized to charge underpayment of any fees or credit any overpayment to Deposit Account No. 50-1192.

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rajiv Yadav

43,999

Name

Registration Number

Signature

Date

July 28, 2001

Total number of pages including cover sheet, attachments and document:

4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

08/27/2001 LMUELLER 00000108 501192 09824629

01 FC:581

40.00 CH

Box 1 Additional Name(s) and addresses from conveying parties:

Name: Jan Stoehlmacher  
Address: 146 Melrose Avenue  
Monrovia, CA 91016

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Individual | <input type="checkbox"/> Association         |
| <input type="checkbox"/> General Partnership   | <input type="checkbox"/> Limited Partnership |
| <input type="checkbox"/> Corporation           | <input type="checkbox"/> Other:              |

**PATENT APPLICATION**  
**Assignment**

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, co-inventors:

Heinz-Josef Lenz, 2753 Winrock Avenue, Altadena, California 91001

Jan Stoehlmacher, 146 Melrose Avenue, Monrovia, California 91016

who have created a certain invention for which a United States Provisional Patent Application entitled **MANGANESE SUPEROXIDE DISMUTASE GENE POLYMORPHISM FOR PREDICTING COLORECTAL CANCER SUSCEPTIBILITY**, serial number 09/824,629, filed on April 2, 2001.

Do hereby sell, assign and transfer to the University of Southern California, a non-profit organization, having a place of business at 3716 South Hope Street, Suite 313, Los Angeles, California 90007-4344, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that the University of Southern California, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has

been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

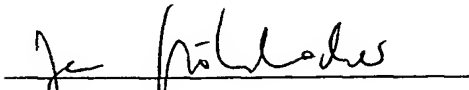
IN THE TESTIMONY WHEREOF I have hereunto set my signature on the date indicated below.

Heinz-Josef Lenz

A handwritten signature in black ink, appearing to be 'Heinz-Josef Lenz', written over a horizontal line.

Date: 6/25/01

Jan Stoehlmacher

A handwritten signature in black ink, appearing to be 'Jan Stoehlmacher', written over a horizontal line.

Date: 6/25/01